

OWNER IDENTIFICATION SUPPLEMENT

ACCOUNT #: _____

THE FOLLOWING INFORMATION WILL BE NECESSARY TO FULFILL POTENTIAL CFTC REPORTING REQUIREMENTS.
(FORM 102 AND LARGE TRADER)

Please complete the Ownership information below. It is imperative that you provide this information promptly to ensure that your account remains in compliance with the CFTC.

Account Type:

- CORPORATION
 LIMITED LIABILITY CORPORATION
 TRUST
 PARTNERSHIP
 OTHER(SPECIFY): _____

Account Name: _____

Website (if any): _____

Who is the best individual contact for this entity? Name: _____

Relationship to Owner(i.e. Employee,Owner,Trustee,etc): _____

Contact Phone: _____ Contact Email: _____

Name of Employer: _____

Job Title: _____

Employer NFA ID and/or LEI (Legal Entity Identifier) if any: _____

Direct Owner(s): * Please complete this page for each direct owner *****

- ✚ Provide the following information regarding the direct owner(s) of this account.
- ✚ **Owners may be natural persons or any type of legal entity.**
- ✚ Attach additional sheets if necessary

Name	Address, City, State/Country, Zip/Postal Code

Phone	Email address

Please provide a **direct number, without any telephone extension.
Non-US respondents should also provide a valid **international area code.*

Website Address (if any):	NFA ID / Legal Entity Identifier - LEI (if any):

If the Owner is a legal entity, please provide the following:

Individual Contact Name: _____ Job Title: _____

Relationship to Owner: _____ Contact Email Address: _____

Contact Phone: _____

Please provide a **direct number, without any telephone extension.
Non-US respondents should also provide a valid **international area code.*

~ Attach additional sheets if necessary ~

Do any other persons and/or entities control the trading of this account? YES NO

If yes, please have the controller(s) complete the **Controller Identification Supplement**.

X _____
Signature

Date

In November 2013, the U.S. Commodity Futures Trading Commission (“CFTC”) finalized new rules for Ownership and Control Reporting (“OCR”) which significantly expanded the reporting requirements for US FCMs and their clients under CFTC Regulation Part 17 CFR 17.01(a), 17.01(b) and 20.5. In accordance, ADM Investor Services, Inc. (ADMIS) is required to obtain the above information with regard to the ownership and control of clearing accounts at ADMIS. This rule officially becomes effective in August 2014.